



PTO/SB/17 (10-07)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2008☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** **930.00****Complete if Known**

Application Number	10/821,011
Filing Date	04/08/2004
First Named Inventor	Christian Limburger
Examiner Name	Jeffery A. Shapiro
Art Unit	3653
Attorney Docket No.	3036.001

METHOD OF PAYMENT (check all that apply)☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☐ Deposit Account Deposit Account Number: _____ Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	310	155	510	255	210	105	
Design	210	105	100	50	130	65	
Plant	210	105	310	155	160	80	
Reissue	310	155	510	255	620	310	
Provisional	210	105	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Fee (\$)	Small Entity Fee (\$)
50	25
210	105
370	185

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	=	0

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	x	=	0

HP = highest number of independent claims paid for, if greater than 3.

Multiple Dependent Claims	
Fee (\$)	Fee Paid (\$)
	0

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$260 (\$130 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	0

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Fee for filing RCE, Petition for Ext. of Time **930****SUBMITTED BY**

Signature

Registration No.
(Attorney/Agent)

43,145

Telephone (405) 607-8600

Name (Print/Type)

Douglas J. Sorocco

Date 02/01/2008

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



EXPRESS MAIL NO.: EV887844526US
Deposited February 1, 2008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/821,011 Confirmation No.: 9317
Applicant: Christian Limburger
Filed: 04/08/2004
TC/A.U.: 3653
Examiner: Jeffery A. Shapiro
Title: METHOD AND APPARATUS INCLUDING AN IMPROVED USER
INTERFACE FOR PROVIDING CUSTOM HAIR COLORING
PRODUCTS TO A USER

Docket No.: 3036.001
Customer No.: 30589

Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**REQUEST FOR CONTINUED EXAMINATION (RCE)
(37 C.F.R. 1.114)**

1. Applicant hereby requests continued examination, in accordance with 37 C.F.R. §1.114, for the above-identified application.

Warning: 35 U.S.C. 132(b) and § 1.114 provide for the continued examination of an application and **not** examination of a continuing application. Accordingly, the Office will not permit an application to obtain continued examination on the basis of claims that are independent and distinct from the claims previously claimed and examined.

Warning: A continued examination request **cannot** be made if at least one office action under 35 U.S.C. 132 or a Notice of Allowance under 35 U.S.C. 161 has not been mailed. The provisions of 37 CFR 1.114 also do not apply (1) to a provisional application, an application for a utility or plant patent filed under 35 U.S.C. 111(a); (2) an international application filed under 35 U.S.C. 363 before June 8, 1995; (3) a patent under reexamination or (4) an application for a design patent. (37 CFR 1.114(d)).

Note: There is no limit to the number of times the fee for continued examination may be submitted. Notice of March 10, 2000.

Note: Unlike a continuation application, a continued examination request **can** utilize the mailing procedure of 37 CFR 1.8.

TIME REQUEST IS BEING MADE

2. This request is being submitted prior to:
 - i. ☒ abandonment of the application

- ii. ☐ Payment of the Issue Fee:
- ☐ Prior to payment of the Issue Fee
- ☐ Issue Fee has been paid but a petition under §1.313 has been granted
- iii. ☐ Prior to a decision on appeal to the Board of Patent Appeals and Interferences
- ☐ A notice is being separate sent to the Board of Patent Appeals & Interferences that this Request for Continued Examination is being filed.

Note: If such a notice is not sent to the Board, they may refuse to vacate a decision rendered after the filing of the RCE but before recognition by the Office of the RCE request under § 146.

- iv. ☐ Appeal to the U.S. Court of Appeals of the Federal Circuit under 35 U.S.C. 145 or ☐ Commencement of a civil action under 35 U.S.C. 146
- ☐ Prior to the filing of such appeal or commencement of civil action.
- ☐ Such appeal or commencement of civil action has been terminated.

ENCLOSURES

3. Enclosed herewith:

WARNING: If reply to a final or non-final Office Action under 35 U.S.C. 132 is outstanding, the submission must meet the reply requirements of § 1.111 37 C.F.R. § 1.114(b).

- ☐ Information Disclosure Statement (37 CFR § 1.98)
- ☐ Form PTO-1449
- ☒ Amendment
- ☐ New Arguments
- ☐ New evidence in support of patentability

☐ Other:

FEE FOR REQUEST

4. This application is on behalf of:

☒ Small Entity (and status is still as small entity) \$405.00

☐ Other than a small entity: \$810.00

Continued Prosecution Request Fee: \$ 405.00

NOTE: The fee for continued examination under § 1.114 (§ 1.17(e)) does not include additional claims fee.

The filing fee for a continued prosecution application filed under this paragraph is: (i) the basic filing fee as set forth in § 1.16; and any additional § 1.16 fee due based on the number of claims remaining in the application after entry of any amendment accompanying the request for an application under this paragraph and entry of any amendments under § 1.116 unentered in the prior application which Applicant has requested to be entered in the continued prosecution application.

FEE FOR CLAIMS

5. The fee for claims (37 C.F.R. § 1.16(b)-(d)) has been calculated as shown below:

	CLAIMS AFTER RESPONSE	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	SMALL ENTITY ADDITIONAL RATE FEE	OTHER THAN SMALL ENTITY ADDITIONAL RATE FEE
TOTAL	20	20	0	X25 = \$00.00	X50 = \$
INDEP.	4	4	0	X100 = \$00.00	X200 = \$
PRESENTATION OF MULTIPLE DEP. CLAIM				X180 = \$00.00	X360 = \$
TOTAL ADDITIONAL FEE				\$00.00	\$00.00

☒ No additional fee is required

Or

☐ Total Additional Fee Required: \$ _____

EXTENSION OF TIME

6. Extension of Time

The proceedings herein are for a patent application, and the provisions of 37 C.F.R. § 1.136(a) apply.

- (a) ☒ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a)(1)-(4), for the total number of months checked below. **PLEASE CONSIDER THIS A PETITION THEREFOR.**

EXTENSION FOR (Months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
<input type="checkbox"/> One month	\$120.00	\$60.00
<input type="checkbox"/> Two months	\$460.00	\$230.00
<input checked="" type="checkbox"/> Three months	\$1,050.00	\$525.00
<input type="checkbox"/> Four months	\$1,640.00	\$820.00
<input type="checkbox"/> Five months	\$2,230.00	\$1,115.00

- ☐ An extension for _____ months has already been secured, and the fee paid therefor of \$_____ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request: \$_____

OR

- a. ☐ Applicant believes that no extension of time is required. However, this is a conditional petition and authorization to pay the necessary fees to provide for the possibility that Applicant inadvertently overlook the need for a petition and fee for extension of time.

TOTAL FEE(S) DUE

WARNING: The fee for continued examination under § 1.114 may not be deferred.

7. The total fee(s) is/are:

Continued Prosecution Fee (§ 1.17e) \$ 405.00

Fee(s) for additional claims (if any) (§ 1.16(b)-(d)) \$ _____

Extension of Time fee (if any) (§ 1.17(a)(1)-(4)) \$ 525.00

PAYMENT OF FEES DUE

8. Please pay the fee(s) for this continued examination application as follows:

☒ [X] The Commissioner is hereby authorized to charge \$ 405.00 to cover the Continued Prosecution fee.

☐ [] The Commissioner is hereby authorized to charge \$ 00.00 to cover the fees for the additional claims.

☒ [X] The Commissioner is hereby authorized to charge \$ 525.00 to cover the fee for the Extension of Time.

☒ [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment.

INVENTORSHIP

9. This Application as amended names as inventors:

☒ [X] the same inventors as previously designated for the claims.

☐ [] fewer than the inventors previously designated and a statement accompanies this request for the deletion of the name or names of the person or persons who are not inventors of the invention now being claimed.

☐ [] a person not named previously as an inventor and a petition under 37 CFR §1.48 is/has separately ☐ [] being filed ☐ [] been filed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'D. Sorocco', with a large, sweeping initial 'D'.

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